



**COPY OF PAPERS
ORIGINALLY FILED**

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/905.035	07/13/2001	Dilip Wagle	361331-505

DECHERT
P.O. Box 5218
Princeton, NJ 08543

3

CONFIRMATION NO. 2229

FORMALITIES LETTER



•OC000000006566954•

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$40.
 - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 460.**

• The balance due by applicant is \$ 460.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Wagle, et al. :
Serial No.: 09/905,035 : Group Art Unit No.: 1614
Filed: July 13, 2001 : Examiner: Unknown
For: Cyanomethyl Substituted Thiazoliums :
And Imidazoliums And Treatments Of :
Disorders Associated With Protein Aging :

Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on December 14, 2001.

By: Peggy Ferello
Peggy Ferello

TRANSMITTAL LETTER
&
SMALL ENTITY STATEMENT

Transmitted herewith are the following documents in the above-identified application:

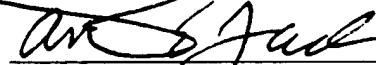
- Response to Notice of Missing Parts of Non-provisional Application with Request for One (1) Month Extension of Time and Copy of Notice;
- Declaration and Power of Attorney;
- Fee Transmittal Form;
- Check No. 1004548 in the amount of \$532.00; and
- Acknowledgment postcard.

Applicants request that any additional fees required by these papers be charged, or any overpayment be credited, to Deposit Account No. 04-0480.

Small Entity Statement

I hereby declare that the Assignee, Alteon, Inc., qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent Office under 37 CFR 1.27(c).

Respectfully submitted,



Arthur E. Jackson
Registration No. 34,354
Attorney for Applicant

DECHERT
P. O. Box 5218
Princeton, NJ 08543
Attn: Arthur E. Jackson, Esq.
(609) 620-3254
Fax: (609) 620-3259

124361.1.07



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Use of this form is mandatory; it is illegal to use it for information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEETRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 532.00)

<i>Complete if Known</i>	
ication Number	09/905,035
g Date	July 13, 2001
Named Inventor	Wagle
aminer Name	Unknown
up Art Unit	1614
orney Docket No.	361331-505

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Indicate fees and credit any overpayments to:
 Deposit Account Number **04-0480**

Deposit
Account
Name

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description			Fee Paid
101 710	201 355	Utility filing fee			370.00
106 320	206 160	Design filing fee			
107 490	207 245	Plant filing fee			
108 710	208 355	Reissue filing fee			
114 150	214 75	Provisional filing fee			

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** = <input type="text"/>	\times	<input type="text"/>
Independent Claims	4	- 3*** = <input type="text"/>	\times	<input type="text"/> = 42.00
Multiple Dependent				

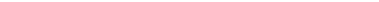
Large Entity Small Entity				Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 42.00

*or number previously paid, if greater: For Reissues, see above

FEE CALCULATION (continued)						
3. ADDITIONAL FEES						
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			Fee Paid
105	130	205	65	Surcharge - late filing fee or oath		65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for ex parte reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		55.00
116	390	216	195	Extension for reply within second month		
117	890	217	445	Extension for reply within third month		
118	1,390	218	695	Extension for reply within fourth month		
128	1,890	228	945	Extension for reply within fifth month		
119	310	219	155	Notice of Appeal		
120	310	220	155	Filing a brief in support of an appeal		
121	270	221	135	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,240	241	620	Petition to revive - unintentional		
142	1,240	242	620	Utility issue fee (or reissue)		
143	440	243	220	Design issue fee		
144	600	244	300	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	130	123	130	Petitions related to provisional applications		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
179	710	279	355	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		
Other fee (specify)						
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$)	120.00

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** **120.00**

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Arthur E. Jackson	Registration No. (Attorney/Agent)	34,354	Telephone
Signature			Date	December 14, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
361331-505

In Re Application of **P E Wagle, et al.**

**COPY OF PAPERS
ORIGINALLY FILED**

Serial No.
09/905,035

Filing Date
July 13, 2001

Examiner
Unknown

Group Art Unit
1614

Invention:

**Cyanomethyl Substituted Thiazoliums And Imidazoliums And Treatments Of Disorders
Associated With Protein Agin**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
September 17, 2001

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.

verified small entity declaration(s)

is/are attached.

was/were filed on 11/13/2002

10:45:00 AM EST 11/13/2002 09505035

A separate request for refund.

04:50:215

55.00 00

#4

Other (list):

**Fee Transmittal Form
Check No. 1004548 in the amount of \$532.00
Acknowledgement Postcard**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
361331-505

In Re Application Of: **Wagle, et al.**

Serial No.
09/905,035

Filing Date
July 13, 2001

Examiner
Unknown

Group Art Unit
1614

Invention:

**Cyanomethyl Substituted Thiazoliums And Imidazoliums And Treatments Of Disorders
Associated With Protein Agin**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

Completion of application fees as calculated below:

Utility application filing fee **\$370.00**

Design application filing fee _____

Total number of independent claims = **4** **\$42.00**

Total number of claims = _____

Multiple dependent claims _____

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

Petition and fee for filing by other than all the inventors or a person not the inventor _____

Fee for processing an application filed with a non-English language specification _____

Fee for processing and retention of application _____

Total completion of application fees **\$477.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month Two months Three months Four months Five months

from: **November 17, 2001** _____ Date until: **December 17, 2001** _____ Date

Total time extension fees **\$55.00**

Total fees due **\$532.00**

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)

Docket No.
361331-505

In Re Application Of: **Wagle, et al.**

Serial No.
09/905,035

Filing Date
July 13, 2001

Examiner
Unknown

Group Art Unit
1614

Invention:

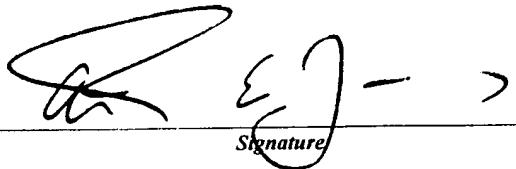
**Cyanomethyl Substituted Thiazoliums And Imidazoliums And Treatments Of Disorders
Associated With Protein Agin**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$532.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-0480
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-0480
A duplicate copy of this sheet is enclosed.



Arthur E. Jackson

Signature

Arthur E. Jackson, Reg. No. 34,354
DECHERT
P. O. Box 5218
Princeton, New Jersey 08543
Tele: (609) 620-3254
Fax: (609) 620-3259

CC:

Dated: **12/14/01**

I certify that this document and fee is being deposited on December 14, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Peggy Ferello

Signature of Person Mailing Correspondence

Peggy Ferello

Typed or Printed Name of Person Mailing Correspondence